

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b>			Docket No. <b>JP920020126US1</b>	
Applicant(s): <b>Makoto Satoh et al</b>				
Application No. <b>10/615,437</b>	Filing Date <b>07/08/03</b>	Examiner <b>Allyson Purnell</b>	Customer No. <b>26502</b>	Group Art Unit <b>2876</b>
invention: <b>COMPUTER SYSTEM THAT PREDICTS IMPENDING FAILURE IN APPLICATIONS SUCH AS BANKING</b>				

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NOV 03 2005  
PATENT & TRADEMARK OFFICE

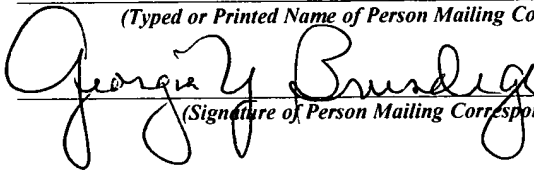
EJ

I hereby certify that this **Req. for Reconsideration, Cert. of Correction (copy), Denial Ltr (copy), Cert. of Mailing & Postcard**  
(Identify type of correspondence)

is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Eva James, Certificate of Correction Board, Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on **11/01/05**  
(Date)

**Certificate**  
**NOV 08 2005**  
**of Correction**

**Georgia Y. Brundage**  
(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

*Copy*  
**NOV 08 2005**  
*of Correction*

Note: Each paper must have its own certificate of mailing.



JP920020126US1  
Patent No.: 6,896,179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Makoto Satoh, et al.

Issued: 05/24/05 : IBM Corporation  
USPTO Contact: Eva James : Intellectual Property Law  
Serial No.: 10/615,437 : Dept. IQ0A, Bldg. 040-3  
Filed: 07/08/2003 : 1701 North Street  
Title: COMPUTER SYSTEM THAT PREDICTS : Endicott, NY 13760  
IMPENDING FAILURE IN APPLICATIONS  
SUCH AS BANKING

DATE: October 31, 2005

REQUEST FOR RECONSIDERATION

Eva James  
Certificate of Correction Branch  
Commissioner of Patents and Trademarks  
Mail Stop Petitions  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Eva:

Applicant's Request for a Certificate of Correction in the above-designated case, filed 06/22/05 (copy enclosed) was denied. Applicants hereby request a reconsideration of the Request for a Certificate of Correction under 37 C.F.R. 1.322 to correct a mistake in a patent, incurred through the fault of the Office, which mistake is clearly disclosed in the records of the Office.

There are four inventors and two assignees in application serial number 10/614,437. Inventor Katoh assigns to International Business Machines Corporation, Armonk, NY (US) as filed 10/10/03 and recorded on reel/frame 014584/0405. Inventors

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NOV 15 2005

Satoh, Furuta, and Nakajima assign to Bank of Tokyo - Mitsubishi, Tokyo (Japan) as filed 09/07/04 and recorded on reel/frame 015793/0928.

The patent issued on 05/24/05, more than 8 months after the assignments were recorded. Applicants therefore request a Certificate of Correction (as enclosed ) be issued to state the two assignees.

Respectfully submitted,

Dated: 11/01/05

By: John R. Pivnichny  
John R. Pivnichny  
Reg. No. 43,001

Telephone: 607-429-5738

Fax: 607-429-4119

Enclosures

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,896,179 B2

DATED : 05/24/05

INVENTOR(S) : Makoto Satoh et al

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Item (73) should be corrected to read:

Assignee: International Business Machines Corporation, Armonk, NY (US) and Bank of Tokyo-Mitsubishi, Tokyo (Japan)

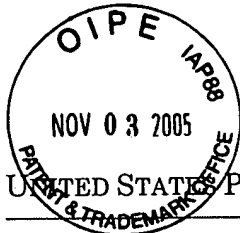
MAILING ADDRESS OF SENDER: John R. Pivnichny  
IBM Corporation IP Law Dept. IQ0A/Bldg 40-3  
1701 North Street  
Endicott, NY 13760

PATENT NO. 6,896,179 B2

No. of additional copies



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UNITED STATES PATENT AND TRADEMARK OFFICE

1-12-05

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

Patent No.: 6,896,179

Inventor(s): Makoto Satoh, et. Al.

Issued: July 8, 2003

Title: COMPUTER SYSTEM THAT PREDICTS IMPENDING FAILURE IN APPLICATIONS SUCH AS BANKING

Docket No.: JP920020126US1

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and or 1.323.

Granting of a petition under 37 Code of Federal Regulations (CFR) 1.183, requesting the requirements of 37 CFR 3.81 to be waived, is required to correct applicant's error in the Assignee (see Manual of Patent Examining Procedures (M.P.E.P) Chp.1400, sect. 1481, pgs.1400-64). Such a petition is required, when the *correct* assignee's name *and/or* address *was not provided* in accordance with either section 3.81(a) or (b) (either no data or an incorrect data, i.e. typographical, misspelling, ...), was provided in item 5 of the Issue Fee Transmittal (PTOL-85b), when the assignment had been recorded or submitted for recordation at the time the issue fee was paid or when an incorrect name was provided in the petition required by section 3.81(b) when the assignment submitted for recording after the date of payment of the issue fee, but prior to issuance of the patent). This procedure is required at *any* time after the issue fee is paid, including after issuance of the patent.

In view of the foregoing, your request is hereby denied.

A petition to correct the Assignee under 37 CFR 1.183 should include:

- (1) the petition fee set forth in 37 CFR 1.117(h) (currently \$130);
- (2) the correct name and address of the assignee; and
- (3) the reel and frame number where the assignment(s) is recorded or proof of the date the assignment was submitted for recordation.

*In the Petition*, Applicant(s) may request that the file is forwarded to Certificates of Correction Branch, for issuance of a Certificates of Correction, if the Petition is granted. No additional request and PTOL 1050 forms, must be submitted, *if only* correction to Assignee data was requested and noted on the PTOL 1050 form, accompanying the original request.

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Any petition under 37 CFR 1.183 should be directed to the attention of the Assistant Commissioner for Patents, using the following mailing address or FAX number.

By Mail: Commissioner of Patents and Trademarks  
Mail Stop Petitions  
Alexandria, VA 22313-1450

By Fax: (703) 308-6916  
Attn.: Office of Petitions

If the Petition under 37 CFR 1.183 is filed and granted, the patentee would be entitled to a certificate of correction under 37 CFR 1.323 (required fee currently \$100, if not previously submitted), due to the mistake in not complying with CFR 3.81.

**Eva James**  
For Cecelia Newman  
Decisions & Certificates  
of Correction Branch  
(703) 308-9390 Ext. 124 or Ext.102

John R. Pivnichny  
IBM Corporation IP Law Dept. IQ0A/Bldg 40-3  
1701 North Street  
Endicott, NY 13760

ej